

11-03-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on November 01, 2000 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number EL584291555US, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: AND1P759  
First Named Inventor: Ronald Sloan

JC925 U.S. PTO  
09/704838  
11/01/00

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Continuation, Divisional or Continuation-in-part application)

☐ Duplicate for fee processing

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:

Ronald Sloan and Stephen B. Slutsky

For: **A USER INTERFACE FOR A FINANCIAL MODELING SYSTEM**

This application is a ☐ Continuation ☐ Divisional ☒ Continuation-in-part  
of prior Application Nos.: 09/431,684 filed on November 1, 1999, 09/452,273 filed on November 30, 1999,  
and 09/579,852 filed on May 25, 2000 from which priority under 35 U.S.C. § 120 is claimed.

**Application Elements:**

- ☒ 48 Pages of Specification, Claims and Abstract  
☒ 11 Sheets of Drawings  
☐ Declaration

☐ Newly executed (original or copy)  
☐ Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).  
The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

**Accompanying Application Parts:**

- ☒ Return Receipt Postcard  
☒ Other: NO FEE IS ENCLOSED.

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained.)

General Authorization for Petition for Extension of Time (37 CFR §1.136)


☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0797 (Order No. AND1P759).

☒ Please send correspondence to the following address:

**HICKMAN COLEMAN & HUGHES, LLP**  
P.O. Box 52037  
Palo Alto, California 94303-0746  
(650) 470-7430 telephone  
(650) 470-7440 facsimile

Date:

1 Nov 2000

  
**Brian R. Coleman**  
Registration No. 39,145